**Pentair plc**
**Conflict Minerals Report**
**For the reporting period from January 1, 2021 to December 31, 2021**

This Conflict Minerals Report for the period from January 1, 2021 to December 31, 2021 is presented to comply with Rule 13p-1 under the Securities Exchange Act of 1934, as amended, and Form SD (the "Rule"). The Rule was adopted to implement reporting and disclosure requirements related to conflict minerals as directed by the Dodd-Frank Wall Street Reform and Consumer Protection Act. The Rule imposes reporting obligations on Securities and Exchange Commission ("SEC") registrants whose manufactured products contain certain minerals that are necessary to the functionality or production of their respective products.

If a registrant determines that any columbite-tantalite (coltan), cassiterite, gold, wolframite or their derivatives, which are limited to tantalum, tin and tungsten (the "Subject Minerals"), are necessary to the functionality or production of a product manufactured by the registrant or contracted by the registrant to be manufactured, the registrant must conduct in good faith a Reasonable Country of Origin Inquiry ("RCOI") regarding those Subject Minerals that is reasonably designed to determine whether any of the Subject Minerals originated in the Democratic Republic of the Congo or an adjoining country (the "Covered County" or "Covered Countries") or are from recycled or scrap sources.

If, following the completion of the RCOI, a registrant knows that any of the necessary Subject Minerals originated in a Covered Country and are not from recycled or scrap sources, or has reason to believe that any of its necessary Subject Minerals may have originated in the Covered Countries and has reason to believe that its necessary Subject Minerals did not come from recycled or scrap sources, then the registrant must exercise due diligence on the source and chain of custody of the Subject Minerals that conforms to a nationally or internationally recognized due diligence framework and describe such due diligence in this separate Conflict Minerals Report.

In accordance with the Organisation for Economic Co-operation and Development Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, Third Edition, the related supplements on tin, tungsten, tantalum and gold (the "Guidance") and the Rule, this Conflict Minerals Report is available on the Company's website at https://www.pentair.com/en-us/legal/partner-supplier-info.html.

Consistent with the provisions of the Rule, the SEC's Statement on the Effect of the Recent Court of Appeals Decision on the Conflict Minerals Rule, dated April 29, 2014; the SEC's Order Issuing Stay, dated May 2, 2014; and the SEC's Updated Statement on the Effect of the Court of Appeals Decision on the Conflict Minerals Rule, dated April 7, 2017, this Conflict Minerals Report has not been audited by a third party.

## 1. Company Overview

Pentair makes the most of life's essential resources. From our residential and business solutions that help people move, improve and enjoy their water, to our sustainable innovations and applications, we deliver smart, sustainable solutions for life.

Pentair is comprised of two reportable business segments: Consumer Solutions and Industrial & Flow Technologies.

**Consumer Solutions**
The Consumer Solutions segment designs, manufactures and sells energy-efficient residential and commercial pool equipment and accessories, and commercial and residential water treatment products and systems. Residential and commercial pool equipment and accessories include pumps, filters, heaters, lights, automatic controls, automatic cleaners, maintenance equipment and pool accessories. Water treatment products and systems include pressure tanks, control valves, activated carbon products, conventional filtration products, and point-of-entry and point-of-use systems. Applications for our pool business's products include residential and commercial pool maintenance, repair, renovation, service and construction. Our water treatment products and systems are used in residential whole home water filtration, drinking water filtration and water softening solutions in addition to commercial total water management and filtration in food service operations. The primary focus of this segment is business-to-consumer.

**Industrial & Flow Technologies**
The Industrial & Flow Technologies segment manufactures and sells a variety of fluid treatment and pump products and systems, including pressure vessels, gas recovery solutions, membrane bioreactors, wastewater reuse systems and advanced membrane filtration, separation systems, water disposal pumps, water supply pumps, fluid transfer pumps, turbine pumps, solid handling pumps, and agricultural spray nozzles, while serving the global residential, commercial and industrial markets. These products and systems are used in a range of applications, fluid delivery, ion exchange, desalination, food and beverage, separation technologies for the oil and gas industry, residential and municipal wells, water treatment, wastewater solids handling, pressure boosting, circulation and transfer, fire suppression, flood control, agricultural irrigation and crop spray. The primary focus of this segment is business-to-business.

The Company conducted an analysis of all of its products and its entire supply chain, and, accordingly, the Company did not limit its review to those products that may have contained Subject Minerals. This Conflict Minerals Report relates to all products (which are collectively referred to as the "Covered Products"): (i) that were manufactured, or contracted to be manufactured, by the Company; and (ii) for which the manufacture was completed during calendar year 2020. Based on its initial analysis, the Company estimates that approximately 23% of its surveyed suppliers make use of one or more of the Subject Minerals with respect to the Covered Products.

The Company has adopted a Conflict Minerals Policy that emphasizes the Company's commitment to complying with the Rule and to identifying the source of the Subject Minerals contained in the Company's products. The Company also indicates in its Global Supplier Guide (the "Supplier Guide") that it expects all suppliers doing business with the Company to cooperate with the conflict minerals due diligence process. The Company also has participated in groups and forums focused on responsible sourcing of Subject Minerals, including the Responsible Minerals Initiative (the "RMI") and industry association groups such as the Twin Cities Conflict

Minerals Task Force.

Unless the context otherwise indicates, references herein to "Pentair," the "Company," and such words as "we," "us," and "our" include Pentair plc and its consolidated subsidiaries.

## 2. Conflict Minerals Compliance Process

### 2.1 Compliance Framework Overview

The Company designed its due diligence measures to conform in all material respects to the Guidance.

### 2.2 Reasonable Country of Origin Inquiry

The Company designed its RCOI to provide a reasonable basis for it to determine whether the Company sources Subject Minerals from Covered Countries and whether any of the Subject Minerals may be from recycled or scrap sources.

The Company began by analyzing its supplier base and designating certain suppliers as outside the scope of the RCOI process if they met one or more of the following criteria:

- The supplier provides the Company with packaging only (excluding labels).
- The supplier provides the Company with items that are not included in our finished products, such as the equipment used to manufacture our products.
- The supplier is a test lab or other service provider.

After removing these out-of-scope suppliers, the Company then conducted a survey of its remaining suppliers using the template maintained by the RMI, known as the Conflict Minerals Reporting Template version 6.1(the "CMRT Form"). The CMRT Form was developed to facilitate disclosure and communication of information regarding smelters that provide material to a company's supply chain. The CMRT Form includes questions regarding a direct supplier's conflict minerals policy, engagement with its direct suppliers, origin of Subject Minerals included in its products, supplier due diligence and a listing of the smelters that the direct supplier and its suppliers use. Written instructions and recorded training illustrating the use of the tool are available on the RMI's website. Many companies are using the CMRT Form in their RCOI and due diligence processes related to Subject Minerals.

The Company's inquiry process included multiple rounds of communication and follow-up, including mail, email and telephone calls, with over 3,600 direct suppliers spanning five enterprise resource planning systems. The Company received, reviewed and processed responses from 59% of the suppliers surveyed, which represented approximately 95% of total calendar year 2021 supplier expenditures.

The Company reviewed the responses against risk-based criteria developed to determine which responses required further engagement with the relevant suppliers. These criteria included inconsistencies within the data reported in the CMRT Form and other risk-based criteria. The

Company worked directly with these suppliers to obtain a revised response and/or additional clarity regarding their submission.

As part of this year's program, the Company also conducted automated data validation on all submitted supplier responses. The goal of data validation is to increase the accuracy of submissions and identify any contradictory answers provided by suppliers. Following the data validation process, the Company classified submitted forms as either valid or invalid and contacted suppliers regarding forms classified as invalid to encourage such suppliers to resubmit a valid form. As of May 5, 2022, the Company still had 56 (1.5%) invalid supplier submissions that were not yet corrected. The results of this data validation contribute to the program's health assessment and are shared with the suppliers to ensure they understand areas that require clarification or improvement.

Among the supplier responses received by the Company that were classified as valid, the Company received the following information:

- Approximately 76% of supplier responses indicated that such supplier did not use Subject Minerals in the materials and components that it supplied to the Company during 2021.

- Approximately 8% of supplier responses indicated that, to the best of such supplier's knowledge, the Subject Minerals in the components and materials that it supplied to the Company during 2021 did not originate from a Covered Country.

- Approximately 4% of supplier responses indicated that, to the best of such supplier's knowledge, the Subject Minerals in the components and materials that it supplied to the Company during 2021 may have originated in a Covered Country from sources verified as conflict-free by third parties such as the RMI and others.

- Approximately 12% of the Company's suppliers indicated that the Subject Minerals in some of the components and materials supplied to the Company may have originated from a Covered Country, but those suppliers could not determine whether such Subject Minerals were conflict-free.

After reviewing the results of the RCOI, the Company could not conclusively determine that it had no reason to believe that Subject Minerals necessary for the functionality or production of its products may have originated from a Covered Country during 2021. The Company conducted its RCOI in good faith, and the Company believes that such inquiry was reasonable to allow it to make the determination. Accordingly, the Company proceeded to exercise due diligence on the source and chain of custody of the Subject Minerals.

### 2.3 The Company's Due Diligence Process

*Design of Due Diligence*

We designed our due diligence measures to conform, in all material respects, with the framework in the Guidance. The Guidance describes the five aspects of a robust due diligence program and provides guidelines for implementing each aspect. We developed our due diligence process to

address each of these five aspects, namely:

1. Establishing strong company management systems regarding conflict minerals;

2. Identifying and assessing risks in our supply chain;

3. Designing and implementing a strategy to respond to identified risks in our supply chain;

4. Utilizing independent third-party audits of supply chain diligence; and

5. Publicly reporting on our supply chain due diligence.

We are a downstream supplier, many steps removed from the mines and companies that produce the Subject Minerals. A large number of suppliers, through multiple tiers of distribution, supply the components and materials integrated into our products. Furthermore, Pentair does not purchase raw ore or unrefined conflict minerals or make purchases directly from the Covered Countries. The origin of Subject Minerals cannot be determined with any certainty once the raw ores are smelted, refined and converted to ingots, bullion or other Subject Mineral-containing derivatives. The smelters and refiners consolidate raw ore and therefore have the most direct knowledge of the origin of the Subject Minerals they procure.

The Guidance specifies that the requirements for compliance should reflect a company's position in the supply chain. In particular, the Guidance states that the implementation of due diligence should be tailored to a company's activities and relationships and that the nature and extent of due diligence may vary based on a company's size, products, relationships with suppliers and other factors. Due to practical difficulties associated with supply chain complexities, the Guidance advises that downstream companies exercise due diligence primarily by establishing controls over their immediate suppliers. Accordingly, we rely primarily on our "tier 1," or direct, suppliers to provide information with respect to the origin of the conflict minerals contained in the components and materials supplied to us.

### 2.3.1 Establish Strong Company Management Systems

*Internal Team*

The Company developed cross-functional teams to set its conflict minerals strategy and ensure timely implementation and execution of the due diligence program. The Company's Supply Chain Group has primary responsibility for program execution. Guidance on the overall strategy and implementation is provided by the Legal Department, Accounting Department and Internal Audit Department. Senior management is briefed about the results of the due diligence program on a regular basis.

The Company has developed internal training processes to educate anyone within the Company that is a potential contact point for suppliers or other external parties regarding the Company's conflict minerals compliance efforts. In addition, the Company has either independently, or in cooperation with a third-party service provider, developed tailored training programs to train the

Company's employees with respect to the potential impact of conflict minerals.

The Company leverages a team of dedicated program specialists through our third-party service provider who support the Company's conflict minerals program and associated teams.

*Ethics HelpLine*

The Company has long-standing grievance mechanisms, including an Ethics HelpLine, whereby the Company's employees can report violations of the Company's Code of Business Conduct and Ethics, policy or law, including its procedures related to conflict minerals and the conflict minerals reporting process.

*Control Systems and Supplier Engagement*

Due to its position in the supply chain, the Company does not have a direct relationship with Subject Minerals smelters and refiners. The Company engages with its suppliers and relies on information provided through the CMRT Form, to gather information on the source and chain of custody of the Subject Minerals in its products.

In 2014, the Company updated its Supplier Guide to address, among other things, the Subject Minerals and the requirement of its suppliers to provide information on their use of these minerals. The Company also continues to update its supplier contracts by including a requirement that the supplier comply with the Supplier Guide whenever a new contract is entered into or an outstanding contract is renewed. In 2016, Pentair's Terms and Conditions were updated to include compliance with laws including a broad reference to all business integrity-related laws and regulations.

Beginning in 2016 and continuing through this year, we have put a stronger emphasis on supplier education and training. To accomplish this, we utilized a third-party learning management system from Assent Compliance Inc. ("Assent"), known as Assent University, to provide all in-scope suppliers access to its Conflict Minerals training course. This training is tracked and evaluated based on completion. All suppliers are encouraged to complete all modules within this course.

In addition, the Company's Conflict Minerals Policy is available on its website, and provides assistance to suppliers in their efforts to comply with the Company's Conflict Minerals Policy, including video training, recorded training and written instructions as well as supplemental assistance through email and telephone contact as necessary. If the Company discovers that a direct supplier is not in compliance with the Company's Conflict Minerals Policy, the Company may take certain actions to mitigate any potential risk, as described in Section 2.3.3, below.

*Records Retention*

The Company will retain documentation related to its conflict minerals compliance program according to the Company's Document Retention Policy.

### 2.3.2 Identify and Assess Risk in the Supply Chain

The Company's supply chain with respect to the Covered Products is complex. During 2021, the Company had over 3,600 direct suppliers with respect to the Covered Products, and there are many third parties in the supply chain between the ultimate manufacture of the Covered Products and the original sources of the Subject Minerals. In this regard, the Company does not purchase Subject Minerals directly from mines, smelters or refiners. The Company must therefore rely on its suppliers to provide information regarding the origin of Subject Minerals that are included in the Covered Products.

Based on this information, and in an effort to conform its due diligence measures to the Guidance, the Company assesses the risk in its supply chain in several ways. For example, the Company considers the potential risk presented by the smelters or refiners in its supply chain, as reported by the Company's direct suppliers. Smelters or refiners that source the Subject Minerals from a Covered Country and are not certified as conflict-free by third-party audit programs such as the RMI's Responsible Minerals Assurance Process ("RMAP") pose a significant risk.

The Company assesses the risk in its supply chain by reviewing its direct suppliers' responses to the CMRT Form, including whether such suppliers have established due diligence programs with respect to the Subject Minerals. The Company uses its direct suppliers' responses to the CMRT Form to determine the extent to which it may be necessary for the Company to allocate additional due diligence resources. Through Assent, the Company has also provided video and written training on compliance with the Rule, supply chain due diligence and the CMRT Form. This includes instructions on completing the CMRT Form, and one-on-one email and phone discussions with supplier personnel. In addition, suppliers are guided to the Assent University learning platform to engage in educational materials on mitigating the risk of smelters or refiners in the supply chain.

After the Company receives CMRT Forms completed by its direct suppliers, the Company, with the assistance of Assent, assesses each facility that meets the RMI definition of a smelter or refiner of a Subject Mineral and that is reported as being in the Company's supply chain by the Company's direct suppliers according to "red flag" indicators defined in the Guidance. Assent uses five factors to determine the level of risk that each smelter poses to the supply chain and reviews each for potential red flags:

- The smelter or refiner's geographic proximity to the Democratic Republic of the Congo and the other Covered Countries;
- Known mineral sources in the country of origin;
- The smelter or refiner's audit status with respect to the RMAP;
- Credible evidence of unethical or conflict-related sourcing practices associated with the smelter or refiner; and
- Peer assessments of the smelter or refiner conducted by credible third-party sources.

Based on these factors, the Company has determined that the following smelter or refiner facilities, which were reported as being in the Company's supply chain by the Company's direct suppliers, have red flag risks ("Red-Flagged Facilities"):

- Tony Goetz NV - CID002587
- Industrial Refining Company - CID002587
- African Gold Refinery Limited (AGR) – CID003185
- Kaloti Precious Metals - CID002563
- Fidelity Printers and Refiners - CID002515
- Sudan Gold Refinery - CID002567

Whenever a Red-Flagged Facility is reported as being in the Company's supply chain, the Company implements risk mitigation activities that are described in specific detail in Section 2.3.3, below. Generally, in designing and implementing the Company's strategy to respond to the supply chain risks that it has identified, the Company analyzed various third-party approaches and consulted with other companies in our industry. The Company has concluded that tracing the Subject Minerals back to their mine of origin directly is an exceedingly complex task, given the breadth and depth of the Company's supply chain. Instead, the Company has determined that seeking information about smelters and refiners in the Company's supply chain represents the most reasonable effort the Company can make to determine the mines or origin of the Subject Minerals in its supply chain. To this end, the Company has adopted methods outlined by the RMI's joint industry programs and outreach initiatives, and the Company has required its suppliers to conform with the same standards and to report on their efforts using the CMRT Form. Through these methods, the Company has made a reasonable determination of the origin of the Subject Minerals in its supply chain.

Additionally, the Company evaluates its suppliers on the strength of their own compliance programs (which further assist the Company in identifying risk in its supply chain). Evaluating and tracking the strength of the Company's suppliers' compliance programs is recommended by the Guidance and can help the Company to make key risk mitigation decisions. The criteria the Company uses to evaluate the strength of a supplier's program is based on the following four questions in the CMRT Form:

- Have you established a conflict minerals sourcing policy?
- Have you implemented due diligence measures for conflict-free sourcing?
- Do you review due diligence information received from your suppliers against your company's expectations?
- Does your review process include corrective action management?

When a supplier meets or exceeds those criteria (by answering "yes" to all four questions), the Company considers that supplier to have a strong compliance program. At this

time, 313 of our 2153 responsive suppliers, or 14%, have been identified as having a strong program.

### 2.3.3 Design and Implement a Strategy to Respond to Risks

In conjunction with the Company's risk assessment process, the Company has developed a risk management plan for responding to risks identified in its supply chain, including Red-Flagged Facilities. Through the Company's due diligence process, the Company attempts to determine the source and chain of custody of the necessary Subject Minerals the Company knows, or has reason to believe, originated in a Covered Country. Since the Company does not have a direct relationship with smelters and/or refiners, most of the work toward this aspect of the Guidance is carried out indirectly through the Company's suppliers or through the Company's involvement with industry working groups/coalitions. Due to its position in the supply chain, the Company largely focuses on the accuracy and quality of the representations the Company's direct suppliers make regarding the source and chain of custody of their Subject Minerals. The Company evaluates its direct suppliers' responses to RCOI and due diligence inquiries based on the risk or likelihood that they are giving an incorrect response or that a non-response may indicate the supplier is purchasing from a known conflict source and does not wish to disclose this fact.

With respect to the Red-Flagged Facilities, upon receiving a CMRT Form from a direct supplier that identifies a Red-Flagged Facility as being present in the Company's supply chain, the Company immediately initiates risk mitigation activities. Through a third-party vendor, the Company directs any supplier reporting a Red-Flagged Facility to implement its own risk mitigation actions. These actions include submitting a product-specific CMRT Form to the Company, to better identify the connection between the Red-Flagged Facility and the materials or components the Company purchases from the supplier, and requesting that the supplier begin the process to remove any Red-Flagged Facility from the supplier's supply chain.

As per the Guidance, specific risk mitigation procedures depend on the suppliers' specific situation. Suppliers are given clear performance objectives within reasonable timeframes with the ultimate goal of progressive elimination of these risks from the supply chain.

As part of the Company's risk management plan, and to ensure its suppliers understood the Company's expectations, the Company has provided video training, recorded training and documented instructions to its direct suppliers through Assent. The Company also provided additional direct assistance to suppliers that required further clarification on the Company's expectations. The Company then provided each supplier with a copy of the CMRT Form to complete as part of the Company's due diligence process. Furthermore, as described above, the Company reviewed responses with specific suppliers where the responses suggested inaccuracies, inconsistencies or otherwise warranted further inquiry.

Finally, in accordance with the Company's Conflict Minerals Policy, the Company engages any of its suppliers that it has reason to believe may be supplying the Company with Subject Minerals from sources that may support conflict in any of the Covered Countries to request that such suppliers establish an alternate source of Subject Minerals that does not support armed conflict in the Covered Countries.

The Company's global supplier development team responds to suppliers that may potentially expose the Company to unacceptable risks, due to unacceptable responses to the Company's inquiries or a refusal to respond. If the global supplier development team determines that a supplier is non-responsive, or is not satisfied with a supplier's risk mitigation efforts, the global supplier development team may ultimately recommend that the Company take steps to find replacement suppliers as soon as is practicable.

#### 2.3.4 Third Party Audit of Supply Chain Due Diligence at Identified Points in the Supply Chain

The Company does not have a direct relationship with Subject Minerals smelters and refiners and does not perform or direct audits of these entities within the Company's supply chain. The Company supports audits by engaging its partners who are closer to the source and, as a member of the RMI, by promoting the smelter and refiner verification procedures and protocols of the RMAP.

Assent also directly contacts smelters and refiners that are not currently enrolled in the RMAP to encourage their participation and gather information regarding each facility's sourcing practices on behalf of its compliance partners. The Company is a signatory of this communication in accordance with the requirements of downstream companies detailed in the Guidance.

#### 2.3.5 Report on Supply Chain Due Diligence

This Conflict Minerals Report is being filed with the SEC as an exhibit to the Company's specialized disclosure report on Form SD and is available on our website at https://www.pentair.com/en-us/legal/partner-supplier-info.html
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*Due Diligence Results*

Attached as Annex I to this Conflict Minerals Report is a list of all of the smelters and refiners listed by our suppliers in their completed CMRT Forms that appear on the list of smelters maintained by the RMI. Because most of the CMRT Forms we received were made on a company- or division-level basis, rather than on a product-level basis, we are not able to identify which smelters or refiners listed on Annex I actually processed the Subject Minerals contained in our products. Therefore, our list of processing smelters and refiners in Annex I may contain more facilities than those that actually processed the Subject Minerals in our products. The Company does not have sufficient information, with respect to the Covered Products, to conclusively determine the country of origin of the Subject Minerals in the Covered Products or to conclusively determine whether the Subject Minerals in the Covered Products are from recycled or scrap sources. However, based on the information provided by the Company's suppliers, smelters and refiners, as well as from the RMI and other sources, the Company believes the countries of origin of the Subject Minerals contained in the Covered Products include the countries listed in Annex II to this Conflict Minerals Report, as well as recycled and scrap sources.

After reviewing the information provided by its suppliers, the Company identified 335 legitimate smelters and refiners of the Subject Minerals in the Covered Products as being present in its supply chain for the calendar year 2021. Of the 335 legitimate smelters and refiners present in the Company's supply chain for the calendar year 2021, 17 were identified as having sourced Subject Minerals from a Covered Country, all of which were verified as conformant with the RMAP. Of the remaining 318 legitimate smelters and refiners, the Company identified 231 as conformant with the RMAP and another 18 that were active with the RMAP (but not yet conformant). After following up with the relevant suppliers, to the best of its ability, the Company found that the remaining 69 valid smelters and refiners in its supply chain had not yet substantively engaged with the RMAP or other industry groups, but the Company found no reasonable basis to conclude these smelters and refiners may have sourced Subject Minerals from a Covered Country, that directly or indirectly financed or benefited armed groups.

## 3. Conflict Minerals Compliance Process

During calendar year 2021, the Company took the following steps to improve the quality of its due diligence:

- Continued our work with our suppliers to help them understand our expectations regarding the Subject Minerals in our supply chain and our suppliers' due diligence of their own supply chains, including their ability to confirm the conflict-free status of identified smelters and refiners.

- Continued to emphasize to suppliers our expectation that they move toward sourcing exclusively from conflict-free smelters and refiners verified by the RMAP.

- For suppliers unable to immediately source from conflict-free smelters and refiners verified by the RMAP, requested that those suppliers develop, share and implement a mitigation plan to source exclusively from conflict-free smelters and refiners.

- Continued our engagement with industry groups, including the RMI, that support the adoption and improvement of relevant programs, tools and standards.

- Enabled a real-time CMRT Form validation tool to identify problems with a supplier CMRT Form and communicate this clearly to suppliers to enable them to fix their submission before providing to the Company.

During calendar year 2022, the Company plans to continue to refine the implementation of its 2021 initiatives to further improve the quality of its due diligence.

## 4. Forward-Looking Statements

This Conflict Minerals Report contains forward-looking statements intended to qualify for the safe harbor from liability established by the Private Securities Litigation Reform Act of 1995. All statements, other than statements of historical fact, included in this Conflict Minerals Report,

including, without limitation, statements regarding our conflict mineral compliance plans, are forward-looking statements. These forward-looking statements generally are identified by the words “targets,” “plans,” “believes,” “expects,” “intends,” “will,” “likely,” “may,” “anticipates,” “estimates,” “projects,” “should,” “would,” “positioned,” “strategy,” “future” or phrases or terms of similar substance or the negative thereof or similar terminology generally intended to identify forward-looking statements. These forward-looking statements are not guarantees of future performance and are subject to risks, uncertainties, assumptions and other factors, some of which are beyond our control. Numerous important factors described in this Conflict Minerals Report, including, among others, our ability to implement new software systems, our suppliers’ willingness and ability to comply with our conflict minerals-related compliance requests, the degree to which we are able to determine our suppliers’ use of conflict-free smelters and refiners, the impact of industry-wide initiatives such as the RMAP, smelters’ and refiners’ willingness and ability to comply with the RMAP, our effectiveness in managing the conflict minerals RCOI and due diligence processes, and the costs of our compliance, could affect these statements and could cause actual results to differ materially from our expectations. All forward-looking statements speak only as of the date of this Conflict Minerals Report. We assume no obligation, and disclaim any duty, to update or revise publicly any forward-looking statements, whether as a result of new information, future events or otherwise.

# Annex I

## List of Smelters and Refiners

| Metal | Smelter Name | Smelter Facility Location | Smelter ID | RMAP Audit Status |
|---|---|---|---|---|
| Tin | China Tin Group Co., Ltd. | China | CID001070 | Conformant |
| Tin | Minsur | Peru | CID001182 | Conformant |
| Tin | Operaciones Metalurgicas S.A. | Bolivia (Plurinational State Of) | CID001337 | Conformant |
| Tin | PT Refined Bangka Tin | Indonesia | CID001460 | Conformant |
| Tin | PT Timah Tbk Kundur | Indonesia | CID001477 | Conformant |
| Tin | PT Timah Tbk Mentok | Indonesia | CID001482 | Conformant |
| Tin | Thaisarco | Thailand | CID001898 | Conformant |
| Tin | White Solder Metalurgia e Mineracao Ltda. | Brazil | CID002036 | Conformant |
| Tin | Metallo Belgium N.V. | Belgium | CID002773 | Conformant |
| Tin | Guangdong Hanhe Non-Ferrous Metal Co., Ltd. | China | CID003116 | Conformant |
| Tin | EM Vinto | Bolivia (Plurinational State Of) | CID000438 | Conformant |
| Tin | Fenix Metals | Poland | CID000468 | Conformant |
| Tin | Malaysia Smelting Corporation (MSC) | Malaysia | CID001105 | Conformant |
| Tin | Mineracao Taboca S.A. | Brazil | CID001173 | Conformant |
| Tin | PT Artha Cipta Langgeng | Indonesia | CID001399 | Conformant |
| Tin | PT Mitra Stania Prima | Indonesia | CID001453 | Conformant |
| Tin | Rui Da Hung | Taiwan, Province Of China | CID001539 | Conformant |
| Tin | PT ATD Makmur Mandiri Jaya | Indonesia | CID002503 | Conformant |
| Tungsten | A.L.M.T. Corp. | Japan | CID000004 | Conformant |
| Gold | Advanced Chemical Company | United States Of America | CID000015 | Conformant |
| Gold | Aida Chemical Industries Co., Ltd. | Japan | CID000019 | Conformant |
| Gold | Allgemeine Gold-und Silberscheideanstalt A.G. | Germany | CID000035 | Conformant |
| Gold | Almalyk Mining and Metallurgical Complex (AMMC) | Uzbekistan | CID000041 | Conformant |

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|---|---|---|---|---|
| Gold | AngloGold Ashanti Corrego do Sitio Mineracao | Brazil | CID000058 | Conformant |
| Gold | Argor-Heraeus S.A. | Switzerland | CID000077 | Conformant |
| Gold | Asahi Pretec Corp. | Japan | CID000082 | Conformant |
| Gold | Asaka Riken Co., Ltd. | Japan | CID000090 | Conformant |
| Gold | Atasay Kuyumculuk Sanayi Ve Ticaret A.S. | Turkey | CID000103 | Outreach Required |
| Tungsten | Kennametal Huntsville | United States Of America | CID000105 | Conformant |
| Gold | Aurubis AG | Germany | CID000113 | Conformant |
| Gold | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | Philippines | CID000128 | Conformant |
| Gold | Boliden AB | Sweden | CID000157 | Conformant |
| Gold | C. Hafner GmbH + Co. KG | Germany | CID000176 | Conformant |
| Gold | Caridad | Mexico | CID000180 | Outreach Required |
| Gold | CCR Refinery - Glencore Canada Corporation | Canada | CID000185 | Conformant |
| Gold | Cendres + Metaux S.A. | Switzerland | CID000189 | Conformant |
| Gold | Yunnan Copper Industry Co., Ltd. | China | CID000197 | Outreach Required |
| Tantalum | Changsha South Tantalum Niobium Co., Ltd. | China | CID000211 | Conformant |
| Tungsten | Guangdong Xianglu Tungsten Co., Ltd. | China | CID000218 | Conformant |
| Tin | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd. | China | CID000228 | Conformant |
| Gold | Chimet S.p.A. | Italy | CID000233 | Conformant |
| Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd. | China | CID000258 | Conformant |
| Gold | Chugai Mining | Japan | CID000264 | Conformant |
| Tungsten | CNMC (Guangxi) PGMA Co., Ltd. | China | CID000281 | Outreach Required |
| Tin | Alpha | United States Of America | CID000292 | Conformant |
| Tin | PT Aries Kencana Sejahtera | Indonesia | CID000309 | Active |
| Gold | Daye Non-Ferrous Metals Mining Ltd. | China | CID000343 | In Communication |
| Gold | DSC (Do Sung Corporation) | Korea, Republic Of | CID000359 | Conformant |
| Gold | Dowa | Japan | CID000401 | Conformant |
| Tin | Dowa | Japan | CID000402 | Conformant |
| Gold | Eco-System Recycling Co., Ltd. East Plant | Japan | CID000425 | Conformant |
| Tin | Estanho de Rondonia S.A. | Brazil | CID000448 | Conformant |

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|---|---|---|---|---|
| Tantalum | F&X Electro-Materials Ltd. | China | CID000460 | Conformant |
| Gold | OJSC Novosibirsk Refinery | Russian Federation | CID000493 | Non Conformant |
| Gold | Refinery of Seemine Gold Co., Ltd. | China | CID000522 | Outreach Required |
| Tin | Gejiu Non-Ferrous Metal Processing Co., Ltd. | China | CID000538 | Conformant |
| Tin | Gejiu Zili Mining And Metallurgy Co., Ltd. | China | CID000555 | Conformant |
| Tungsten | Global Tungsten & Powders Corp. | United States Of America | CID000568 | Conformant |
| Tantalum | Guangdong Zhiyuan New Material Co., Ltd. | China | CID000616 | Conformant |
| Gold | Guoda Safina High-Tech Environmental Refinery Co., Ltd. | China | CID000651 | Outreach Required |
| Gold | Hangzhou Fuchunjiang Smelting Co., Ltd. | China | CID000671 | Outreach Required |
| Gold | LT Metal Ltd. | Korea, Republic Of | CID000689 | Conformant |
| Gold | Heimerle + Meule GmbH | Germany | CID000694 | Conformant |
| Gold | Heraeus Metals Hong Kong Ltd. | China | CID000707 | Conformant |
| Gold | Heraeus Precious Metals GmbH & Co. KG | Germany | CID000711 | Conformant |
| Tungsten | Hunan Chenzhou Mining Co., Ltd. | China | CID000766 | Conformant |
| Gold | Hunan Chenzhou Mining Co., Ltd. | China | CID000767 | Outreach Required |
| Tungsten | Hunan Chunchang Nonferrous Metals Co., Ltd. | China | CID000769 | Conformant |
| Gold | Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd. | China | CID000773 | Outreach Required |
| Gold | HwaSeong CJ CO., LTD. | Korea, Republic Of | CID000778 | Communication Suspended - Not Interested |
| Gold | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | China | CID000801 | Conformant |
| Gold | Ishifuku Metal Industry Co., Ltd. | Japan | CID000807 | Conformant |
| Gold | Istanbul Gold Refinery | Turkey | CID000814 | Conformant |
| Gold | Japan Mint | Japan | CID000823 | Conformant |
| Tungsten | Japan New Metals Co., Ltd. | Japan | CID000825 | Conformant |
| Gold | Jiangxi Copper Co., Ltd. | China | CID000855 | Conformant |
| Tungsten | Ganzhou Huaxing Tungsten Products Co., Ltd. | China | CID000875 | Conformant |
| Tantalum | JiuJiang JinXin Nonferrous Metals Co., Ltd. | China | CID000914 | Conformant |
| Tantalum | Jiujiang Tanbre Co., Ltd. | China | CID000917 | Conformant |
| Gold | Asahi Refining USA Inc. | United States Of America | CID000920 | Conformant |

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|---|---|---|---|---|
| Gold | Asahi Refining Canada Ltd. | Canada | CID000924 | Conformant |
| Gold | JSC Ekaterinburg Non-Ferrous Metal Processing Plant | Russian Federation | CID000927 | RMI Due Diligence Review - Unable to Proceed |
| Gold | JSC Uralelectromed | Russian Federation | CID000929 | Non Conformant |
| Gold | JX Nippon Mining & Metals Co., Ltd. | Japan | CID000937 | Conformant |
| Tin | Gejiu Kai Meng Industry and Trade LLC | China | CID000942 | Non Conformant |
| Gold | Kazakhmys Smelting LLC | Kazakhstan | CID000956 | In Communication |
| Gold | Kazzinc | Kazakhstan | CID000957 | Conformant |
| Tungsten | Kennametal Fallon | United States Of America | CID000966 | Conformant |
| Gold | Kennecott Utah Copper LLC | United States Of America | CID000969 | Conformant |
| Gold | Kojima Chemicals Co., Ltd. | Japan | CID000981 | Conformant |
| Gold | Kyrgyzaltyn JSC | Kyrgyzstan | CID001029 | Non Conformant |
| Gold | L'azurde Company For Jewelry | Saudi Arabia | CID001032 | RMI Due Diligence Review - Unable to Proceed |
| Gold | Lingbao Gold Co., Ltd. | China | CID001056 | Outreach Required |
| Gold | Lingbao Jinyuan Tonghui Refinery Co., Ltd. | China | CID001058 | Outreach Required |
| Tantalum | LSM Brasil S.A. | Brazil | CID001076 | Conformant |
| Gold | LS-NIKKO Copper Inc. | Korea, Republic Of | CID001078 | Conformant |
| Gold | Luoyang Zijin Yinhui Gold Refinery Co., Ltd. | China | CID001093 | Outreach Required |
| Gold | Materion | United States Of America | CID001113 | Conformant |
| Gold | Matsuda Sangyo Co., Ltd. | Japan | CID001119 | Conformant |
| Tin | Metallic Resources, Inc. | United States Of America | CID001142 | Conformant |
| Gold | Metalor Technologies (Suzhou) Ltd. | China | CID001147 | Conformant |
| Gold | Metalor Technologies (Hong Kong) Ltd. | China | CID001149 | Conformant |
| Gold | Metalor Technologies (Singapore) Pte., Ltd. | Singapore | CID001152 | Conformant |
| Gold | Metalor Technologies S.A. | Switzerland | CID001153 | Conformant |
| Gold | Metalor USA Refining Corporation | United States Of America | CID001157 | Conformant |
| Gold | Metalurgica Met-Mex Penoles S.A. De C.V. | Mexico | CID001161 | Conformant |
| Tantalum | Metallurgical Products India Pvt., Ltd. | India | CID001163 | Conformant |
| Tantalum | Mineracao Taboca S.A. | Brazil | CID001175 | Conformant |

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|---|---|---|---|---|
| Gold | Mitsubishi Materials Corporation | Japan | CID001188 | Conformant |
| Tin | Mitsubishi Materials Corporation | Japan | CID001191 | Conformant |
| Tantalum | Mitsui Mining and Smelting Co., Ltd. | Japan | CID001192 | Conformant |
| Gold | Mitsui Mining and Smelting Co., Ltd. | Japan | CID001193 | Conformant |
| Tantalum | NPM Silmet AS | Estonia | CID001200 | Conformant |
| Gold | Moscow Special Alloys Processing Plant | Russian Federation | CID001204 | Non Conformant |
| Gold | Nadir Metal Rafineri San. Ve Tic. A.S. | Turkey | CID001220 | Conformant |
| Tin | Jiangxi New Nanshan Technology Ltd. | China | CID001231 | Conformant |
| Gold | Navoi Mining and Metallurgical Combinat | Uzbekistan | CID001236 | Conformant |
| Gold | Nihon Material Co., Ltd. | Japan | CID001259 | Conformant |
| Tantalum | Ningxia Orient Tantalum Industry Co., Ltd. | China | CID001277 | Conformant |
| Tin | Novosibirsk Processing Plant Ltd. | Russian Federation | CID001305 | Conformant |
| Tin | O.M. Manufacturing (Thailand) Co., Ltd. | Thailand | CID001314 | Conformant |
| Gold | Ohura Precious Metal Industry Co., Ltd. | Japan | CID001325 | Conformant |
| Gold | OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) | Russian Federation | CID001326 | Non Conformant |
| Gold | PAMP S.A. | Switzerland | CID001352 | Conformant |
| Gold | Penglai Penggang Gold Industry Co., Ltd. | China | CID001362 | Outreach Required |
| Gold | Prioksky Plant of Non-Ferrous Metals | Russian Federation | CID001386 | Non Conformant |
| Gold | PT Aneka Tambang (Persero) Tbk | Indonesia | CID001397 | Conformant |
| Tin | PT Babel Inti Perkasa | Indonesia | CID001402 | Conformant |
| Tin | PT Babel Surya Alam Lestari | Indonesia | CID001406 | Conformant |
| Tin | PT Bukit Timah | Indonesia | CID001428 | Conformant |
| Tin | PT Prima Timah Utama | Indonesia | CID001458 | Conformant |
| Tin | PT Stanindo Inti Perkasa | Indonesia | CID001468 | Conformant |
| Tin | PT Timah Nusantara | Indonesia | CID001486 | Active |
| Tin | PT Tinindo Inter Nusa | Indonesia | CID001490 | Conformant |
| Gold | PX Precinox S.A. | Switzerland | CID001498 | Conformant |
| Tantalum | QuantumClean | United States Of America | CID001508 | Conformant |
| Gold | Rand Refinery (Pty) Ltd. | South Africa | CID001512 | Conformant |

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| Tantalum | Yanling Jincheng Tantalum & Niobium Co., Ltd. | China | CID001522 | Conformant |
| Gold | Royal Canadian Mint | Canada | CID001534 | Conformant |
| Gold | Sabin Metal Corp. | United States Of America | CID001546 | Communication Suspended - Not Interested |
| Gold | Samduck Precious Metals | Korea, Republic Of | CID001555 | Conformant |
| Gold | Samwon Metals Corp. | Korea, Republic Of | CID001562 | Communication Suspended - Not Interested |
| Gold | SEMPSA Joyeria Plateria S.A. | Spain | CID001585 | Conformant |
| Gold | Shandong Tiancheng Biological Gold Industrial Co., Ltd. | China | CID001619 | Outreach Required |
| Gold | Shandong Zhaojin Gold & Silver Refinery Co., Ltd. | China | CID001622 | Conformant |
| Gold | Sichuan Tianze Precious Metals Co., Ltd. | China | CID001736 | Conformant |
| Gold | SOE Shyolkovsky Factory of Secondary Precious Metals | Russian Federation | CID001756 | Non Conformant |
| Tin | Soft Metais Ltda. | Brazil | CID001758 | Conformant |
| Gold | Solar Applied Materials Technology Corp. | Taiwan, Province Of China | CID001761 | Conformant |
| Tantalum | Solikamsk Magnesium Works OAO | Russian Federation | CID001769 | Conformant |
| Gold | Sumitomo Metal Mining Co., Ltd. | Japan | CID001798 | Conformant |
| Gold | Super Dragon Technology Co., Ltd. | China | CID001810 | Outreach Required |
| Tantalum | Taki Chemical Co., Ltd. | Japan | CID001869 | Conformant |
| Gold | Tanaka Kikinzoku Kogyo K.K. | Japan | CID001875 | Conformant |
| Tantalum | Telex Metals | United States Of America | CID001891 | Conformant |
| Tin | Gejiu Yunxin Nonferrous Electrolysis Co., Ltd. | China | CID001908 | Conformant |
| Gold | Great Wall Precious Metals Co., Ltd. of CBPM | China | CID001909 | Outreach Required |
| Gold | The Refinery of Shandong Gold Mining Co., Ltd. | China | CID001916 | Conformant |
| Gold | Tokuriki Honten Co., Ltd. | Japan | CID001938 | Conformant |
| Gold | Tongling Nonferrous Metals Group Co., Ltd. | China | CID001947 | Outreach Required |
| Gold | Torecom | Korea, Republic Of | CID001955 | Conformant |
| Tantalum | Ulba Metallurgical Plant JSC | Kazakhstan | CID001969 | Conformant |
| Gold | Umicore S.A. Business Unit Precious Metals Refining | Belgium | CID001980 | Conformant |
| Gold | United Precious Metal Refining, Inc. | United States Of America | CID001993 | Conformant |
| Gold | Valcambi S.A. | Switzerland | CID002003 | Conformant |

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| Tin | VQB Mineral and Trading Group JSC | Viet Nam | CID002015 | Outreach Required |
| Gold | Western Australian Mint (T/a The Perth Mint) | Australia | CID002030 | Conformant |
| Tungsten | Wolfram Bergbau und Hutten AG | Austria | CID002044 | Conformant |
| Tungsten | Xiamen Tungsten Co., Ltd. | China | CID002082 | Conformant |
| Gold | Yamakin Co., Ltd. | Japan | CID002100 | Conformant |
| Gold | Yokohama Metal Co., Ltd. | Japan | CID002129 | Conformant |
| Tin | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | China | CID002158 | Conformant |
| Tin | Yunnan Tin Company Limited | China | CID002180 | Conformant |
| Gold | Zhongyuan Gold Smelter of Zhongjin Gold Corporation | China | CID002224 | Conformant |
| Gold | Gold Refinery of Zijin Mining Group Co., Ltd. | China | CID002243 | Conformant |
| Gold | Morris and Watson | New Zealand | CID002282 | In Communication |
| Gold | SAFINA A.S. | Czechia | CID002290 | Conformant |
| Gold | Guangdong Jinding Gold Limited | China | CID002312 | Outreach Required |
| Tungsten | Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd. | China | CID002313 | Communication Suspended - Not Interested |
| Gold | Umicore Precious Metals Thailand | Thailand | CID002314 | Conformant |
| Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | China | CID002315 | Conformant |
| Tungsten | Jiangxi Yaosheng Tungsten Co., Ltd. | China | CID002316 | Conformant |
| Tungsten | Jiangxi Xinsheng Tungsten Industry Co., Ltd. | China | CID002317 | Conformant |
| Tungsten | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | China | CID002318 | Conformant |
| Tungsten | Malipo Haiyu Tungsten Co., Ltd. | China | CID002319 | Conformant |
| Tungsten | Xiamen Tungsten (H.C.) Co., Ltd. | China | CID002320 | Conformant |
| Tungsten | Jiangxi Gan Bei Tungsten Co., Ltd. | China | CID002321 | Conformant |
| Tin | CV Venus Inti Perkasa | Indonesia | CID002455 | Active |
| Gold | Geib Refining Corporation | United States Of America | CID002459 | Conformant |
| Tin | Magnu's Minerais Metais e Ligas Ltda. | Brazil | CID002468 | Conformant |
| Tantalum | Hengyang King Xing Lifeng New Materials Co., Ltd. | China | CID002492 | Conformant |
| Tungsten | Ganzhou Seadragon W & Mo Co., Ltd. | China | CID002494 | Conformant |
| Tin | Melt Metais e Ligas S.A. | Brazil | CID002500 | Outreach Required |

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| Tungsten | Asia Tungsten Products Vietnam Ltd. | Viet Nam | CID002502 | Conformant |
| Tantalum | D Block Metals, LLC | United States Of America | CID002504 | Conformant |
| Tantalum | FIR Metals & Resource Ltd. | China | CID002505 | Conformant |
| Tantalum | Jiujiang Zhongao Tantalum & Niobium Co., Ltd. | China | CID002506 | Conformant |
| Tantalum | XinXing HaoRong Electronic Material Co., Ltd. | China | CID002508 | Conformant |
| Gold | MMTC-PAMP India Pvt., Ltd. | India | CID002509 | Conformant |
| Gold | KGHM Polska Miedz Spolka Akcyjna | Poland | CID002511 | Conformant |
| Tantalum | Jiangxi Dinghai Tantalum & Niobium Co., Ltd. | China | CID002512 | Conformant |
| Tungsten | Chenzhou Diamond Tungsten Products Co., Ltd. | China | CID002513 | Conformant |
| Gold | Fidelity Printers and Refiners Ltd. | Zimbabwe | CID002515 | RMI Due Diligence Review - Unable to Proceed |
| Gold | Singway Technology Co., Ltd. | Taiwan, Province Of China | CID002516 | Conformant |
| Tin | O.M. Manufacturing Philippines, Inc. | Philippines | CID002517 | Conformant |
| Gold | Shandong Humon Smelting Co., Ltd. | China | CID002525 | Outreach Required |
| Gold | Shenzhen Zhonghenglong Real Industry Co., Ltd. | China | CID002527 | Outreach Required |
| Tantalum | KEMET Blue Metals | Mexico | CID002539 | Conformant |
| Tungsten | H.C. Starck Tungsten GmbH | Germany | CID002541 | Conformant |
| Tungsten | H.C. Starck Smelting GmbH & Co. KG | Germany | CID002542 | Conformant |
| Tungsten | Masan Tungsten Chemical LLC (MTC) | Viet Nam | CID002543 | Conformant |
| Tantalum | H.C. Starck Co., Ltd. | Thailand | CID002544 | Conformant |
| Tantalum | H.C. Starck Tantalum and Niobium GmbH | Germany | CID002545 | Conformant |
| Tantalum | H.C. Starck Hermsdorf GmbH | Germany | CID002547 | Conformant |
| Tantalum | H.C. Starck Inc. | United States Of America | CID002548 | Conformant |
| Tantalum | H.C. Starck Ltd. | Japan | CID002549 | Conformant |
| Tantalum | H.C. Starck Smelting GmbH & Co. KG | Germany | CID002550 | Conformant |
| Tungsten | Jiangwu H.C. Starck Tungsten Products Co., Ltd. | China | CID002551 | Conformant |
| Tantalum | Global Advanced Metals Boyertown | United States Of America | CID002557 | Conformant |
| Tantalum | Global Advanced Metals Aizu | Japan | CID002558 | Conformant |
| Gold | Al Etihad Gold Refinery DMCC | United Arab Emirates | CID002560 | Conformant |

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| Gold | Emirates Gold DMCC | United Arab Emirates | CID002561 | Conformant |
| Gold | International Precious Metal Refiners | United Arab Emirates | CID002562 | In Communication |
| Gold | Kaloti Precious Metals | United Arab Emirates | CID002563 | RMI Due Diligence Review - Unable to Proceed |
| Gold | Sudan Gold Refinery | Sudan | CID002567 | Outreach Required |
| Tin | Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company | Viet Nam | CID002572 | Non Conformant |
| Tin | Nghe Tinh Non-Ferrous Metals Joint Stock Company | Viet Nam | CID002573 | Outreach Required |
| Tin | Tuyen Quang Non-Ferrous Metals Joint Stock Company | Viet Nam | CID002574 | Outreach Required |
| Gold | T.C.A S.p.A | Italy | CID002580 | Conformant |
| Gold | REMONDIS PMR B.V. | Netherlands | CID002582 | Conformant |
| Gold | Fujairah Gold FZC | United Arab Emirates | CID002584 | Outreach Required |
| Gold | Industrial Refining Company | Belgium | CID002587 | Non Conformant |
| Gold | Shirpur Gold Refinery Ltd. | India | CID002588 | Outreach Required |
| Tungsten | Niagara Refining LLC | United States Of America | CID002589 | Conformant |
| Gold | Korea Zinc Co., Ltd. | Korea, Republic Of | CID002605 | Conformant |
| Gold | Marsam Metals | Brazil | CID002606 | Conformant |
| Gold | TOO Tau-Ken-Altyn | Kazakhstan | CID002615 | Conformant |
| Tungsten | China Molybdenum Co., Ltd. | China | CID002641 | Conformant |
| Tungsten | Ganzhou Haichuang Tungsten Co., Ltd. | China | CID002645 | Conformant |
| Tungsten | Hydrometallurg, JSC | Russian Federation | CID002649 | Conformant |
| Tin | PT Cipta Persada Mulia | Indonesia | CID002696 | Conformant |
| Tin | An Vinh Joint Stock Mineral Processing Company | Viet Nam | CID002703 | Outreach Required |
| Tin | Resind Industria e Comercio Ltda. | Brazil | CID002706 | Conformant |
| Tantalum | Resind Industria e Comercio Ltda. | Brazil | CID002707 | Conformant |
| Gold | Abington Reldan Metals, LLC | United States Of America | CID002708 | Non Conformant |
| Tungsten | Unecha Refractory metals plant | Russian Federation | CID002724 | Conformant |
| Tin | Super Ligas | Brazil | CID002756 | Active |
| Gold | SAAMP | France | CID002761 | Conformant |
| Gold | L'Orfebre S.A. | Andorra | CID002762 | Conformant |

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| Gold | 8853 S.p.A. | Italy | CID002763 | Conformant |
| Gold | Italpreziosi | Italy | CID002765 | Conformant |
| Tin | Metallo Spain S.L.U. | Spain | CID002774 | Conformant |
| Gold | WIELAND Edelmetalle GmbH | Germany | CID002778 | Conformant |
| Gold | Ogussa Osterreichische Gold- und Silber-Scheideanstalt GmbH | Austria | CID002779 | Conformant |
| Tin | PT Sukses Inti Makmur | Indonesia | CID002816 | Active |
| Tungsten | Philippine Chuangxin Industrial Co., Inc. | Philippines | CID002827 | Conformant |
| Tungsten | Xinfeng Huarui Tungsten & Molybdenum New Material Co., Ltd. | China | CID002830 | Conformant |
| Tungsten | ACL Metais Eireli | Brazil | CID002833 | Conformant |
| Tin | Thai Nguyen Mining and Metallurgy Co., Ltd. | Viet Nam | CID002834 | Conformant |
| Tin | PT Menara Cipta Mulia | Indonesia | CID002835 | Conformant |
| Tantalum | Jiangxi Tuohong New Raw Material | China | CID002842 | Conformant |
| Tungsten | Moliren Ltd. | Russian Federation | CID002845 | Conformant |
| Gold | AU Traders and Refiners | South Africa | CID002850 | Non Conformant |
| Gold | GCC Gujrat Gold Centre Pvt. Ltd. | India | CID002852 | Active |
| Gold | Sai Refinery | India | CID002853 | Outreach Required |
| Gold | Modeltech Sdn Bhd | Malaysia | CID002857 | Non Conformant |
| Tin | Modeltech Sdn Bhd | Malaysia | CID002858 | Non Conformant |
| Gold | Bangalore Refinery | India | CID002863 | Conformant |
| Gold | Kyshtym Copper-Electrolytic Plant ZAO | Russian Federation | CID002865 | Outreach Required |
| Gold | Degussa Sonne / Mond Goldhandel GmbH | Germany | CID002867 | Outreach Required |
| Gold | Pease & Curren | United States Of America | CID002872 | Communication Suspended - Not Interested |
| Gold | JALAN & Company | India | CID002893 | Outreach Required |
| Gold | SungEel HiMetal Co., Ltd. | Korea, Republic Of | CID002918 | Conformant |
| Gold | Planta Recuperadora de Metales SpA | Chile | CID002919 | Conformant |
| Gold | Safimet S.p.A | Italy | CID002973 | Conformant |
| Gold | State Research Institute Center for Physical Sciences and Technology | Lithuania | CID003153 | Outreach Required |
| Gold | African Gold Refinery | Uganda | CID003185 | Outreach Required |

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| Gold | Gold Coast Refinery | Ghana | CID003186 | Outreach Required |
| Gold | NH Recytech Company | Korea, Republic Of | CID003189 | Conformant |
| Tin | Chifeng Dajingzi Tin Industry Co., Ltd. | China | CID003190 | Conformant |
| Tin | PT Bangka Serumpun | Indonesia | CID003205 | Conformant |
| Tin | Pongpipat Company Limited | Myanmar | CID003208 | Outreach Required |
| Gold | QG Refining, LLC | United States Of America | CID003324 | Outreach Required |
| Tin | Tin Technology & Refining | United States Of America | CID003325 | Conformant |
| Gold | Dijllah Gold Refinery FZC | United Arab Emirates | CID003348 | Outreach Required |
| Tin | Dongguan CiEXPO Environmental Engineering Co., Ltd. | China | CID003356 | Non Conformant |
| Tin | Ma'anshan Weitai Tin Co., Ltd. | China | CID003379 | Conformant |
| Tin | PT Rajawali Rimba Perkasa | Indonesia | CID003381 | Conformant |
| Gold | CGR Metalloys Pvt Ltd. | India | CID003382 | Outreach Required |
| Gold | Sovereign Metals | India | CID003383 | Outreach Required |
| Tin | Luna Smelter, Ltd. | Rwanda | CID003387 | Conformant |
| Tungsten | KGETS Co., Ltd. | Korea, Republic Of | CID003388 | Conformant |
| Tin | Yunnan Yunfan Non-ferrous Metals Co., Ltd. | China | CID003397 | Non Conformant |
| Tungsten | Fujian Ganmin RareMetal Co., Ltd. | China | CID003401 | Conformant |
| Tungsten | Lianyou Metals Co., Ltd. | Taiwan, Province Of China | CID003407 | Conformant |
| Tungsten | JSC "Kirovgrad Hard Alloys Plant" | Russian Federation | CID003408 | Conformant |
| Tin | Precious Minerals and Smelting Limited | India | CID003409 | Non Conformant |
| Tin | Gejiu City Fuxiang Industry and Trade Co., Ltd. | China | CID003410 | Outreach Required |
| Tungsten | NPP Tyazhmetprom LLC | Russian Federation | CID003416 | Active |
| Tungsten | GEM Co., Ltd. | China | CID003417 | Conformant |
| Gold | C.I Metales Procesados Industriales SAS | Colombia | CID003421 | Active |
| Gold | Eco-System Recycling Co., Ltd. North Plant | Japan | CID003424 | Conformant |
| Gold | Eco-System Recycling Co., Ltd. West Plant | Japan | CID003425 | Conformant |
| Tungsten | Albasteel Industria e Comercio de Ligas Para Fundicao Ltd. | Brazil | CID003427 | Active |
| Tin | PT Mitra Sukses Globalindo | Indonesia | CID003449 | Active |
| Gold | Augmont Enterprises Private Limited | India | CID003461 | Active |

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| Gold | Kundan Care Products Ltd. | India | CID003463 | In Communication |
| Tungsten | Cronimet Brasil Ltda | Brazil | CID003468 | Conformant |
| Tin | CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda | Brazil | CID003486 | Active |
| Gold | Emerald Jewel Industry India Limited (Unit 1) | India | CID003487 | In Communication |
| Gold | Emerald Jewel Industry India Limited (Unit 2) | India | CID003488 | In Communication |
| Gold | Emerald Jewel Industry India Limited (Unit 3) | India | CID003489 | In Communication |
| Gold | Emerald Jewel Industry India Limited (Unit 4) | India | CID003490 | In Communication |
| Gold | K.A. Rasmussen | Norway | CID003497 | Outreach Required |
| Gold | Alexy Metals | United States Of America | CID003500 | Active |
| Tin | CRM Synergies | Spain | CID003524 | Conformant |
| Gold | Sancus ZFS (L'Orfebre, SA) | Colombia | CID003529 | Active |
| Gold | Sellem Industries Ltd. | Mauritania | CID003540 | Communication Suspended - Not Interested |
| Gold | MD Overseas | India | CID003548 | In Communication |
| Tungsten | Artek LLC | Russian Federation | CID003553 | Outreach Required |
| Gold | Metallix Refining Inc. | United States Of America | CID003557 | In Communication |
| Gold | Metal Concentrators SA (Pty) Ltd. | South Africa | CID003575 | Conformant |
| Tin | PT Belitung Industri Sejahtera | Indonesia | CID001421 | Outreach Required |
| Tin | PT Panca Mega Persada | Indonesia | CID001457 | Outreach Required |
| Tin | PT Sariwiguna Binasentosa | Indonesia | CID001463 | Conformant |
| Tin | PT Tirus Putra Mandiri | Indonesia | CID002478 | Outreach Required |
| Tin | PT Masbro Alam Stania | Indonesia | CID003380 | Active |
| Tin | Fabrica Auricchio Industria e Comercio Ltda. | Brazil | CID003582 | Conformant |
| Tantalum | Yancheng Jinye New Material Technology Co., Ltd. | China | CID003583 | Conformant |
| Tungsten | Fujian Xinlu Tungsten | China | CID003609 | Conformant |
| Tungsten | OOO "Technolom" 2 | Russian Federation | CID003612 | Active |
| Tungsten | OOO "Technolom" 1 | Russian Federation | CID003614 | Active |
| Gold | WEEEREFINING | France | CID003615 | Active |
| Gold | Value Trading | Belgium | CID003617 | In Communication |

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| Tin | PT Tommy Utama | Indonesia | CID001493 | In Communication |

## Annex II

### List of Countries of Origin for Subject Minerals

This list of potential countries of origin is populated based on publicly available information, our RCOI and due diligence. It is important to note that this is also based on company-level responses and therefore, it is not certain which of these countries of origin can be linked to our products.

# Country List

Afghanistan
Åland Islands
Albania
American Samoa
Andorra
Angola
Argentina
Armenia
Australia
Austria
Belarus
Belgium
Bermuda
Bolivia (Plurinational State of)
Brazil
Bulgaria
Burundi
Cambodia
Canada
Central African Republic
Chile
China
Colombia
Congo
Democratic Republic of Congo
Djibouti
Dominica
Dominican Republic
Ecuador
Egypt
Eritrea
Estonia
Ethiopia
Finland
France
Germany
Ghana
Guinea
Guyana
Hong Kong

Hungary
India
Indonesia
Ireland
Israel
Italy
Japan
Kazakhstan
Kenya
Korea
Kyrgyzstan
Liberia
Lithuania
Luxembourg
Madagascar
Malaysia
Mali
Mauritania
Mexico
Mongolia
Morocco
Mozambique
Myanmar
Namibia
Netherlands
New Zealand
Niger
Nigeria
Panama
Papua New Guinea
Peru
Philippines
Poland
Portugal
Russian Federation
Rwanda
Saudi Arabia
Sierra Leone
Singapore
Slovakia
Slovenia
South Africa
South Sudan

Spain
Sudan
Suriname
Sweden
Switzerland
Taiwan
Tajikistan
Tanzania
Thailand
Turkey
Uganda
United Arab Emirates
United Kingdom
United States
Uzbekistan
Viet Nam
Zambia
Zimbabwe